



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

3762/4#

Applicant: Lixiao Wang

Serial No.: 10/027,417

Examiner: R. Ghafoorian

Filed: December 20, 2001

Group Art Unit:

For: CATHETER HAVING AN IMPROVED BALLOON-TO-CATHETER BOND

Docket: 1001.1461101

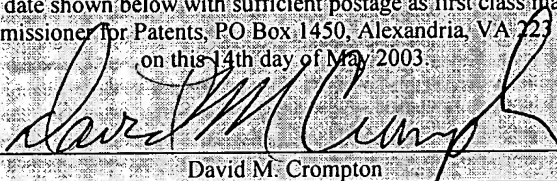
**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

RECEIVED  
MAY 29 2003  
TECHNOLOGY CENTER R3700

**CERTIFICATE UNDER 37 C.F.R. § 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 14th day of May 2003.

By   
David M. Crompton

We are transmitting herewith the attached:

- [ ] Amendment  
[ ] No additional fee required  
[ ] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 42=	\$	X 84 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 140 =	\$	+ 280 =	\$
TOTAL				\$		\$	

[ XX ] A check in the amount of \$180.00 is enclosed. Itemization:

Fee Code 1806 \$180.00

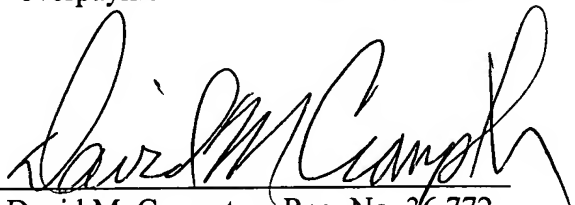
Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

[ ] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[ XX ] Other: INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c)), FORM PTO-1449 AND FIVE (5) CITED REFERENCES.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton, Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



28075

PATENT TRADEMARK OFFICE



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*Handwritten:* #618  
6-1-03

In re Application of:

Lixiao Wang

Serial No.: 10/027,417

Examiner: R. Ghafoorian

Filed: December 20, 2001

Group Art Unit: 3763

For: CATHETER HAVING AN IMPROVED BALLOON-TO-CATHETER BOND

Docket No.: 1001.1461101

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
MAY 29 2003  
TECHNOLOGY CENTER R3700

**INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF  
EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))**

<p><b>CERTIFICATE UNDER 37 C.F.R. § 1.8:</b> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 14th day of May 2003.</p> <p>By <i>David M. Crompton</i> David M. Crompton</p>
--

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached Form PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first office

action on the merits, whichever event occurred last but before the mailing date of either a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first.

Accompanying this Information Disclosure Statement are fees in the amount of \$180.

Respectfully submitted,

Lixiao Wang

By his Attorney,



David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

Date: \_\_\_\_\_

5/14/03



28075

PATENT TRADEMARK OFFICE